

State/Fed	Documents Posted
Kansas - Architecture Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Architecture Review (AR) - Stage Gate > Kansas <ul style="list-style-type: none"> • doc1577: Kansas Architecture Review - Final
Kansas - Project Base line Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Project Baseline Review (PBR) - Stage Gate > Kansas <ul style="list-style-type: none"> • doc1578: Kansas Project Baseline Review - Final
Maryland - Architecture Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Architecture Review (AR) - Stage Gate > Maryland <ul style="list-style-type: none"> • ACA HCR Wireframes • HIX end-to-end process flow (Individual / family) • Architecture Review • Eligibility & Enrollment Process Flow - EEI 1 - 20 • Plan Management Process Flows PM 1 - 6 • RFI • Use Case Diagrams • Market Research Analysis - Analysis of Vendor Market
Maryland - Project Baseline Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Project Baseline Review (PBR) - Stage Gate > Maryland <ul style="list-style-type: none"> • Project Baseline Review
Massachusetts - Architecture Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Architecture Review (AR) - Stage Gate > Massachusetts <ul style="list-style-type: none"> • Architecture Review • Architecture Review Findings
Massachusetts - Project Baseline Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Project Baseline Review (PBR) - Stage Gate > Massachusetts <ul style="list-style-type: none"> • Project Baseline Review
Minnesota - Architecture Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Architecture Review (AR) - Stage Gate > Minnesota <ul style="list-style-type: none"> • MN Architecture Review

State/Fed	Documents Posted
Minnesota - Project Base Line Review	<p>Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Project Baseline Review (PBR) - Stage Gate > Minnesota</p> <ul style="list-style-type: none"> • MN Combined Architecture and Project Baseline Reviews • MN DHS Submission of Eligibility and Enrollment PAPD • MN Exchange Module Prototype proof of concept RFP • MN HIX Schedule • MN Project Baseline Review • MN PAPD-EEX Budget and Resources • MN Cover Memo to CMS Cost Questions for MN PAPD EEX
Missouri - Archecture Review	<p>Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Architecture Review (AR) - Stage Gate > Missouri</p> <ul style="list-style-type: none"> • Appendix C - RFI Scoring Tool - Functional Set • Appendix C - RFI Scoring Tool - Standards Set • Appendix C - RFI Scoring Tool - Technical Set • Business Process Transition Template • Missouri Responses to AR Findings • Missouri SHOP's Report • Missouri Show-Me Exchange Requirements and Business Process Model • MO HIE Architecture Review • Request for Information for Health Insurance Exchange Compnent Solutions and Services • Strategic Architecture Report • Strategic Architecture Roadmap and Budget Report • Updated Business Process Model

State/Fed	Documents Posted
Missouri - Project Base Line Review	<p>Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Project Baseline Review (PBR) - Stage Gate > Missouri</p> <ul style="list-style-type: none"> • doc3350: Missouri Eligibility & Enrollment Process Flows_Draft • doc3347: Missouri HIE Architecture Governance • doc3346: Missouri IT Project Life Cycle Process and Standards • doc3031: Missouri IT Project Plan_Draft • doc3354: Missouri Overall Project Plan • doc3029: Missouri Project Baseline Review Slide Deck • doc3030: Missouri Project Management Plan_Draft • doc3349: Missouri RFI Responder Assessment • doc3348: Missouri Software Vendor Solution Assessment • doc3032: Responses to AR Meeting Feedback
New York - Architecture Review	<p>Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Architecture Review (AR) - Stage Gate > New York</p> <ul style="list-style-type: none"> • NY-HX Architecture Gateway Review Presentation to CCIIO • NY-HX Architecture Gateway Review Follow-Up Q and A • NY-HX Architecture Gateway Review Follow-Up Q and A Part 2 • NY-HX Acquisition Strategy v1.2 final • NY-HX Buisness Needs Statement v1.1 final • NY-HX Risk Mgmt Plan v1.1 final • NY-HX Performance Measures v1 • NY-HX Comms Mgmt Plan v1.2 final • NY-HX CHG Mgmt Plan v1.2 final • NY-HX Project Charter v2 final
New York - Project Base Line Review	<p>Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Project Baseline Review (PBR) - Stage Gate > New York</p> <ul style="list-style-type: none"> • NY-HX Project Baseline Gateway Review Presentation • NY-HX Project Management Plan v1.2 final • NY-HX PPA v1.2 final • NY-HX Baseline IS Risk Analysis v0.1 • 20110728 NY IT Early Innovator Master Schedule with Baseline

State/Fed	Documents Posted
Oregon - Architecture Review	<p>Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Architecture Review (AR) - Stage Gate > Oregon</p> <ul style="list-style-type: none"> • Oregon Architecture Review • Oregon HIX-IT Architecture Review - FINAL version • Oregon IT Environment • Oregon Technical Architecture Components • Plan Management Business Process Models Version 1.0 Draft BPMN
Oregon - Project Base Line Review	<p>Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Project Baseline Review (PBR) - Stage Gate > Oregon</p> <ul style="list-style-type: none"> • Oregon Project Baseline Review • Plan Management Business Process Models Version 1.0 Draft BPMN
Oregon - Detail Design Review	<p>Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Detailed Design Review (DDR) > Oregon</p> <ul style="list-style-type: none"> • Plan Management Business Process Model • 1_HIX-IT Project Charter • 2_HIX-IT Test Plan • 3_OR HIX Security Risk Assessment • 4_HIX-IT Requirements Document • 5_Oracle CMS SDD v0.8 Master ARx • 6_OR HIX Interface Control Document CMS V1.3 DH • 7_EE Individual • 8_Plan Management Business Process Models 3-5 • 9_PM-01 Combined - Establish Initial Certification and Agreement • 10_PM-02 Monitor Carrier and Plan Certification Compliance • 11_PM-06 Rate Increase Justification Process Version light • 12_FM Process Models • 13_SHOP Employee full • 14_SHOP Employer full

State/Fed	Documents Posted
Rhode Island - Architecture Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Architecture Review (AR) - Stage Gate > Rhode Island <ul style="list-style-type: none"> • Eligibility Systems Provisional Report • RI's IT Gap Analysis • RI's Strategic Architecture Report Blueprint
Rhode Island - Project Base Line Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Project Baseline Review (PBR) - Stage Gate > Rhode Island <ul style="list-style-type: none"> • Project Baseline Review PPT • RI's detailed work plan • RI's Milestones
Washington - Architectural Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Architecture Review (AR) - Stage Gate > Washington <ul style="list-style-type: none"> • Architecture Review Presentation • IT Gap Analysis • Project Charter • Heath Benefit Final Exchange Grant Application • Procurement Strategy Position Paper • Washington State Substitute Senate Bill 5445 • Technical Reference Model • Project Process Agreement (In Progress) • Project Management Plan (In Progress) • Plan Management Business Process Model Zipped (In Progress) • Plan Management Business Process Model (In Progress) • Individual Eligibility & Enrollment Business Process Model (In Progress) • Individual Eligibility & Enrollment Business Process Models Zipped (In Progress) • Financial Management Business Process Model (In Progress) • Financial Management Business Process Models Zipped (In Progress)

State/Fed	Documents Posted
Washington - Project Baseline Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Project Baseline Review (PBR) - Stage Gate > Washington > List Documents <ul style="list-style-type: none"> • WA Exchange Privacy Impact Assessment • WA Exchange Project Baseline Review • WA Exchange Project Management Plan • WA Exchange Project Process Agreement • WA Exchange Release Plan
Wisconsin Architecture Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Architecture Review (AR) - Stage Gate > Wisconsin <ul style="list-style-type: none"> • Wisconsin Architecture Review • Wisconsin Architecture Review - Findings
Wisconsin - Project Base Line Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Project Baseline Review (PBR) - Stage Gate > Wisconsin <ul style="list-style-type: none"> • Wisconsin Project Baseline Review
Wisconsin - Detail Design Review	Documents > Root Folder > Fed Exchange and ELC Review Docs > State ELC Review Documentation > Detailed Design Review (DDR) > Wisconsin <ul style="list-style-type: none"> • Wisconsin HIX Detailed Design Review
Fed Exchange and ELC Review Docs	Documents > Root Folder > Fed Exchange and ELC Review Docs <ul style="list-style-type: none"> • doc1427: Collaborative Environment and Life Cycle Governance – Exchange Reference Architecture Supplement
Enterprise Life Cycle Federal Exchange Project Management Documentation and ELC Governance	Documents > Root Folder > Fed Exchange and ELC Review Docs > Federal Exchange Project Management Docs <ul style="list-style-type: none"> CMS-CCIIO Exchange Records Mgmt Plan CMS-CCIIO Federal Exchange PMP CMS-CCIIO PMP Overview Exchange_RiskManagementPlan_ILC Exchange Requirements Management Plan Quality Management Plan Staff Management Plan Testing Plan

State/Fed	Documents Posted
Enterprise Life Cycle Core Templates	<p>Documents > Root Folder > Fed Exchange and ELC Review Docs > ELC Artifact Templates > Core</p> <p>Application Contingency Plan (ACP) - Reviews PORR & ORR</p> <p>Change Management Plan (CMP) - Review PBR</p> <p>Communication Management Plan (CMP) - Review PBR</p> <p>Configuration Management Plan (CMP) - Review PBR</p> <p>Database Design Document (DD) - Reviews DDR & FDDR</p> <p>Data Use Agreement (DUA) - Reviews PORR & ORR</p> <ul style="list-style-type: none"> • Implementation Plan (IP) - Reviews PORR & ORR • Information Security Risk Assessment (ISRA) Template - Reviews PDR & PORR • Interface Control Template (ICT) - Review DDR & FDDR <p>Operations Maintenance Manual (OMM) - Reviews PORR & ORR</p> <ul style="list-style-type: none"> • Project Charter (PC) - Review PSR • Project Management Plan (PMP) - Review PSR & PBR • Project Process Agreement (PPA) - Review PBR • Project Startup Review (PSR) Template • Quality Management Plan (QMP) - Review PBR • Records Management/Data Management Plan - DRR & FDDR • Release Plan Template (RPT) - Review PBR • Requirements Document (RD) - Reviews PDR, DDR & FDDR • Risk Management Plan (RMP) - Review PSR • System Design Document (SDD) - Reviews PDR, DDR & FDDR • System Security Plan (SSP) - Reviews PDR & PORR <p>Test Plan (TP) - Review PDR & PORR</p> <p>Test Report (TR) - Reviews PORR & ORR</p> <p>Test Summary Report (TSR) - Reviews PORR & ORR</p> <p>Training Plan Template (TPT) - Reviews PORR & ORR</p> <p>User Manual (UM) - Reviews PORR & ORR</p>

State/Fed	Documents Posted
HHS - Enterprise Life Cycle Recommended Templates	<ul style="list-style-type: none"> • Alternative Analysis - PSR Data Conversion Plan (DCP) - Reviews DDR & FDDR • Logical Data Model (LDM) - Review PDR • Performance Measurement Plan - PSR • Physical Data Model (PDM) - Reviews DDR & FDDR • Privacy Impact Assessment (PIA) Practices Guide - Reviews PBR & ORR • Privacy Impact Assessment (PIA) - Review PBR & ORR • SLA MOU Template - Review ORR • Standard for Plans of Action and Milestones (POA&M) Management and Reporting (POA&M) - ORR • System of Record - Reviews FDDR & ORR
Enterprise Life Cycle Stage Gate Review Templates	<p>Documents > Root Folder > Fed Exchange and ELC Review Docs > ELC Stage Gate Review Templates</p> <ul style="list-style-type: none"> • Architecture Review (AR) Template • Project Baseline Review (PBR) Template • Preliminary Design Review (PDR) Template • Detail Design Review (DDR) Template • Final Detailed Design Review (FDDR) • Pre-Operational Readiness Review (PORR) Template • Operational Readiness Review (ORR) Template
Background Research	<ul style="list-style-type: none"> • Washington State Background Research • Robert Wood Johnson Background Research • University of MN Background Research • Wakely Consulting Background Research

State/Fed	Documents Posted
Business Processes and Requirements	<ul style="list-style-type: none"> • doc3114: RI - Assessment of the Basic Health Plan Opportunity • doc3115: RI - November 29th Exchange Board meeting Basic Health Plan presentation. • doc3121: MD - ACA HCR Wireframes (link from MD AR folder) • doc3122: MD - HIX End-To-End Process Flow (Individual/Family) (link from MD AR folder) • doc3123: MD - Eligibility & Enrollment Process Flow - EEI 1-20 (link from MD AR folder) • doc3124: MD - Plan Management Process Flows PM 1-6 (link from MD AR folder) • doc3125: MD - Use Case Diagrams (link from MD AR folder) • doc3355: MO - Business Process Transition Template (link from MO AR folder) • doc3356: MO - Missouri SHOP's Report (link from MO AR folder) • doc3357: MO - Missouri Show-Me Exchange Requirements and Business Process Model (link from MO AR folder) • doc3358: MO - Updated Business Process Model (link from MO AR folder) • doc3359: MO - Missouri Eligibility & Enrollment Process Flows_Draft (link from MO PBR folder) • doc3363: OR - 11_PM-06 Rate Increase Justification Process Version light (link from OR DDR folder) • doc3364: OR - 12_FM Process Models (link from OR DDR folder) • doc3365: OR - 13_SHOP Employee full (link from OR DDR folder) • doc3366: OR - 14_SHOP Employer full (link from OR DDR folder) • doc3367: OR - 4_HIX-IT Requirements Document (link from OR DDR folder) • doc3368: OR - 7_EE Individual (link from OR DDR folder) • doc3369: OR - 8_Plan Management Business Process Models 3-5 (link from OR DDR folder) • doc3370: OR - 9_PM-01 Combined - Establish Initial Certification and Agreement (link from OR DDR folder) • doc3362: OR - PM-02 Monitor Carrier and Plan Certification Compliance (link from OR DDR folder) • doc3371: OR - Copy of Plan Management Business Process Models Version 1.0 Draft BPMN (link from OR AR folder)

State/Fed	Documents Posted
Business Processes and Requirements cont.	<ul style="list-style-type: none"> • doc3377: WA - Financial Management Business Process Model (In Progress) (link from WA AR folder) • doc3375: WA - Individual Eligibility & Enrollment Business Process Model (In Progress) (link from WA AR folder) • doc3376: WA - Plan Management Business Process Model (In Progress) (link from WA AR folder) • doc2206: EEI-10 Determine Medicaid & CHIP Eligibility_20110919 • doc2207: EEI-12 Plan Selection_20110919 • doc2208: EEI-17 Verify Household Income_20110919 • doc2205: Final Himmelstein August 1 MMIS HIX development • doc2209: HCR-Eligibility Enrollment Presentation Template - 09172011
CALT/Application Life Cycle Management	<ul style="list-style-type: none"> • ALM 2-Page Summary • ALM Questionnaire - Full Use • ALM Questionnaire - Collaboration • Wisconsin - WI ALM Requirements • Wisconsin - Wisconsin ALM Questionnaire • Access - CCIIO ALM Policy Procedures Supplement - Federal New User Request Process • Acesss - CCIIO ALM Policy Procedures Supplement - State New User Request Process • Access - Federal CALT Access Request Packet • Access - Non-Federal CALT Access Request Packet • New York - NY ALM Questionnaire
Early Innovator Work Group	<ul style="list-style-type: none"> • Meeting Minutes • Early Innovators Collaborative Workgroup Meeting Handouts - Reuse & Reusability Discussion • Early Innovators Collaborative Workgroup Meeting Handouts - RFP Discussion • Wisconsin - Vendor Evaluation Results August 2011 Demonstrations • Maryland - Maryland Health Benefit Exchange ACA RFP

State/Fed	Documents Posted
Exchange Grantee Meeting Materials	<ul style="list-style-type: none"> • doc2192: 00 Final Agenda • doc2176: 02 Overview of exchange models and options for states • doc2177: 03 Introduction to Federally Facilitated Exchange • doc2178: 04 Introduction to the Exchange Approval Process • doc2179: 05 Financial Management 101 • doc2180: 06 Exchanges NPRM • doc2181: 07 Three Eligibility NPRMs • doc2182: 09 Resources for Building Your Exchange • doc2183: 11 Risk Adjustment white paper • doc2184: 12 Quality Presentation September 2011 State Grantee Meeting • doc2185: 14 Financial Management: Pathway to Certification • doc2186: 15 SHOP Presentation September 2011 State Grantee Meeting • doc2187: 16a Market research and strategy presentation • doc2188: 16b Market Research Handout 09 18 2011 • doc2189: 18 Tribal Consultation Presentation September 2011 State Grantee Meeting • doc2190: 19 Exchange Security September 2011 State Grantee Meeting • doc2191: 21 Plan Management: Pathway to Certification

State/Fed	Documents Posted
Exchange Guidance	<ul style="list-style-type: none"> • IT Guidance Version 1.0 • IT Guidance Version 2.0 • Harmonized Security and Privacy - Exchange TRA Supplement • Preliminary Individual Eligibility and Enrollment Activity Descriptions • Eligibility and Enrollment Blueprint - Exchange Business Architecture Supplement • Plan Management Blueprint - Exchange Business Architecture Document • Preliminary Plan Management Activity Descriptions • Collaborative Life Cycle and Governance - Exchange Reference Architecture Document • Exchange Reference Architecture: Foundation Guidance • Initial Guidance to States on Exchanges • Medicaid and Exchange IT Architecture Guidance: Framework for Collaboration with State Grantees • Financial Management Business Architecture Supplement (DRAFT) • Exchange IT Gap Analysis Info • Exchange Reference Architecture: Foundation Guidance-V0.99-03.16.11 • Guidance for Exchange and Medicaid IT Systems v.2 • Harmonized Security and Privacy Framework – Exchange TRA Supplement-V0.95-03.16.11 • Wisconsin IT Gap Analysis
Funding Opportunity Announcements	<ul style="list-style-type: none"> • Planning FOA • Early Innovator IT FOA • Establishment FOA • Territories Exchange FOA
IT State Profiles	Place holder for IT Profiles
Governance & Legislation	<ul style="list-style-type: none"> • doc3373: WA - Washington State Substitute Senate Bill 5445 (link from WA AR folder) • doc3479: RI - Executive Order Authorizing Exchange

State/Fed	Documents Posted
Request for Information (RFI)/Proposal (RFP) & Statement of Work (SOW)	<p>Documents > Root Folder > Request for Information/Proposal & Statement of Work</p> <ul style="list-style-type: none"> • doc3142: HHS - Hub Statement of Work • doc3141: HHS - Federal Exchange Statement of Work • doc3119: MD - RFI (link to MD AR folder) • doc3120: Maryland Health Benefit Exchange ACA RFP (link to Early Innovator Wrok Group) • doc3126: MN - Exchange Module Prototype Proof of Concept RFP (link from MN PBR folder) • doc3137: MO - Appendix C - RFI Scoring Tool - Functional Set (link from MO AR folder) • doc3138: MO - Appexdix C - RFI Scoring Tool - Standards Set (link from MO AR folder) • doc3139: MO - Appendix C - RFI Scoring Tool - Technical Set (link from MO AR folder) • doc3140: MO - Request for Information for Health Insurance Exchange Component Solutions and Services (link from MO AR folder) • doc3360: MO - Missouri RFI Responder Assessment (link from MO PBR folder) • doc3361: MO - Missouri Software Vendor Solution Assessment (link from MO PBR folder)
Security Documentation	<ul style="list-style-type: none"> • doc1489: CALT Community Reviewer Feedback Form • doc2158: CMS TRA Catalog of Minimum Security Controls for States Supp Draft v 0 2 09012011 • doc2160: CMS TRA Minimum Security Guidance for States Draft v 0 8 _09012011 • doc2161: HarmonSecur and Priv Framework Exch TRA Supp drft v 0 93 03022011 • doc2162: HarmonSecur and Priv Framework Exch TRA Supp Drft v 0 95 03162011
Software and System Integrator (SI) Vendor Research	<ul style="list-style-type: none"> • doc3118: MD: Exchange Software Market Research Analysis (link from MD AR Folder) • doc3404: Copy of MO - Missouri Software Vendor Solution Assessment (link from MO PBR folder)
State Grant Administration - APD-IAPD-PADP	<p>Documents > Root Folder > State Grant Administration > APD-IAPD-PADP</p> <ul style="list-style-type: none"> • doc3462: MN - DHS Submission of Eligibility and Enrollment PAPD (link from MN PBR folder) • doc3463: MN - Cover Memo to CMS Cost Questions for MN PAPD EEX (link from MN PBR folder) • doc3464: MN - PAPD-EEX Budget and Resources (link from MN PBR folder)

State/Fed	Documents Posted
State Grant Administration - State Quarterly Reporting	Information posted for Kansas, Oregon and Wisconsin. Under the New England Consortium - Rhode Island
State Tribal Consultation Policies	<ul style="list-style-type: none"> • Wisconsin: doc2974: 11.9.2011 Presentation • Wisconsin: doc2975: Department of Health Services Tribal Consultation Policy

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